

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Novel Fluorescent Proteins

the specification of which (check only one item below):

☐ is attached hereto

☒ was filed as United States application

Serial No. to be assigned

on March 17, 1997

and was amended

on \_\_\_\_\_

☐ was filed as PCT international application

Number \_\_\_\_\_

on \_\_\_\_\_

and was amended under PCT Article 19

on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

## PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Denmark	1065/95	22 September 1995	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

Attorney's Docket Number  
4594-204-US

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT  
UNDER 35 U.S.C. 120:

[illegible]

Steve T. Zelson	Elias J. Lambiris	Cheryl H. Agris	James J. Harrington	Valeta A. Gregg
Reg. No. 30,335	Reg. No. 33,728	Reg. No. 34,086	Reg. No. 38,711	Reg. No. 35,127

Direct Telephone Calls To:  
Steve T. Zelson  
(212) 867-0123

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 <i>Christastrup</i>	Signature of Inventor 2 <i>Edwin Tullin</i>	Signature of Inventor 3 <i>Lars Kongsbak Poulsen</i>
Date 970521	Date 970521	Date 970521
Signature of Inventor 4 <i>Sara P. Bjorn</i>	Signature of Inventor 5	Signature of Inventor 6
Date 970521	Date	Date
Signature of Inventor 7	Signature of Inventor 8	Signature of Inventor 9
Date	Date	Date

Docket No.: 114465.400

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
THASTRUP et al. :  
Serial No. 08/819,612 :  
Filed: March 17, 1997 :  
For: NOVEL FLUORESCENT PROTEINS :

TRANSMITTAL OF VERIFIED STATEMENT  
CLAIMING SMALL ENTITY STATUS

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

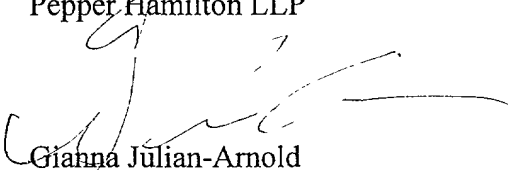
Transmitted herewith for filing in the above-referenced application is the  
following:

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS-

SMALL BUSINESS CONCERN

Respectfully submitted,

Pepper Hamilton LLP

  
Gianna Julian-Arnold  
Registration No. 36,358

600 Fourteenth Street, N.W.  
Washington, D.C. 20005-2004  
(202) 220-1200

Date:

DC: #151280 v1 (38Q801!.WPD)

Applicant or Patentee: Ole Thastrup, et al.

Attorney's Docket No.: 114465.400

Serial or Patent No.: 08/819,612

Filed or Issued: March 17, 1997

For: NOVEL FLUORESCENT PROTEINS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF ORGANIZATION: BioImage A/S

ADDRESS OF ORGANIZATION: Mørkhøj Bygade 28, 2860 Søborg, Denmark

I hereby declare that the above identified small business concern qualified as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled by inventor(s) described in

- ☐ the specification filed herewith.  
☒ application Serial No. 08/819,612 filed March 17, 1997  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

09872364-060101

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Ole Thastrup

*Ole Thastrup* *Jørgen Ulrik Yensgaard*

TITLE IN ORGANIZATION: Chief Technology Officer

Chief Operating Officer

ADDRESS OF PERSON SIGNING: Mørkhøj Bygade 28, DK-2860 Søborg, Denmark

SIGNATURE:

DATE:

6 June 2000

DC: #127976 v1 (2QQW01) WPD)

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